

**Draft – 4**  
**Catalog Task Team**  
**Development and Application of RTO West Transmission Rights**

**Creating the Initial Catalog**

- Define what is being cataloged (**Attachment 1** – Phil)
  - Anything besides transmission rights?
  - Conflict between protecting existing rights and expanding market
- Listing the obligations
  - Identify types of obligations (**Attachment 2** – Chris)
  - Define a working template (**Attachment 7** – Arne)
  - Describe process for establishing individual entries (**Attachment 3** – John C)
    - Interested parties, Appeals, etc.
- Listing the assets
- Sufficiency Test – Assure O/A balance without cost shift (**Attachment 4** – Phil)
  - What is the test
  - How do you pass/fail
  - What are consequences of failure
  - How obligations and assets can be aggregated
  - Is there commonality among types of obligations
  - Hubs & Zones (MOT?)

**Maintaining the Catalog (Phil, part of sufficiency test)**

- Conversion to direct scheduled CTRs and FTOs as affects sufficiency test  
(Procedures in **Attachment 3** – John Canavan)
- Adjustment for obligation changes (e.g. expiring contracts)
- Adjustment for asset changes
- Re-checking O/A balance
- Processes needed for retail access

**Applying the Catalog**

- Defining “non-converted service” and “converted service”
- Conversion to direct scheduled CTRs and FTOs – Procedures  
(**Attachment 5** – Chris, Marlene, Arne (CMA))
- Pre DA lockdown and incentives (CMA)
- DA Settlement provisions for catalog service (MOT?) (**Attachment 8** – Steve J)
- RT Settlement provisions for catalog service (MOT?) (**Attachment 8** – Steve J)
- Analyzing likely performance (**Attachment 9** – Arne)
  - Do options capture netting?
  - Gaming problems?

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**FTOs (Phil Muller)**

- How to estimate amount for sale – room on system
- Timing – annual, monthly, weekly, daily?
- Auction process
  - Bidding
  - Evaluation
  - Awards
- FTO registration and ownership transfers

**Other Issues and Items**

- Potential use of obligations
- Loopflow
- Transmission Outages
- Flowchart (**Attachment 10** – Larry Oliva)
- FAQs (**Attachment 11** – Phil Muller)

**Definitions**

- **Attachment 6**

**Working assumptions**

- We can make this work!
- Protecting historic use (not paper)
- All legacy cataloged – no conversions initially
- No new entries in catalog (it shrinks over time)
- This work will control what goes to tariff
- This work-stream will develop all elements of the processes for creating and applying CTRs and FTOs. It will be decided later which elements belong in the tariff and which belong in the TOA.