Short-term Preemption and ROFR Project Update

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Agenda

• Status update
• Default PCM Schedule for BP v4
• Preemption BP v5
• Examples of PCM email notifications
• Draft Preemption and ROFR Procedures document
Preemption and ROFR Status

✓ Final Preemption BP v4 has been posted. At least 3 weeks notice will be given before the BP goes effective.

✓ OATI software changes have been completed.
  - Preemption and Competition (PCM) changes for webTrans and OASIS have been deployed to Production.
  - PCM is ready to be enabled.

☐ Billing changes for Redirects that successfully exercise ROFR are behind schedule.
  - This is the only gating item remaining.
  - Unfortunately, we don’t have a firm schedule.

• Still hoping for go-live for Monthly service in March.
  - The delay in EIM does not impact our ability to go-live in March.
Default PCM Schedule for BP v4

- Week 0: Confirm readiness to bill 1 month after PCM goes live.
- Week 1:
  - Give 3 week notice for PCM version 4 effective date.
  - Announce training schedule.
- Week 2: Start PCM training sessions.
- Week 3: Complete PCM training sessions.
- Week 4:
  - PCM version 4 is effective.
  - PCM go-live for Monthly service, with Redirects.
- Week 7: PCM go-live for Weekly service with Redirects
- Week 10: PCM go-live for Daily service with Redirects

- Schedule will be adjusted to allow at least 2 weeks buffer around EIM go-live.
Preemption BP v5

• Will apply to Hourly Firm and Non-Firm.
• BPA is drafting the changes to the BP now.
• Version 5 will not be posted for customer comment until v4 becomes effective, hopefully in March.
• Version 5 will not become effective until PCM has successfully been running for Daily Firm and Non-Firm under v4 for at least 3 weeks.
• We will likely be proposing a phased implementation of PCM for Hourly service under v5.
  o Firm Hourly NT Challengers vs Firm Hourly PTP Defenders.
  o Firm Hourly PTP Challengers vs Firm Hourly PTP Defenders.
  o Non-Firm Hourly PTP Challengers vs Non-Firm Hourly PTP Defenders.
PCM Email Notification Examples
PCM has started for a Challenger

- Information can be queried using the template `preemption` with `PREEMPTION_REF`.
- The email and OASIS template query both return: the Challenger, all Defenders, all Dependents of the Defenders.
- The `PREEMPTION_COMPONENT_STATE` is the status of each AREF within the PCM process. “ACTIVE” means in progress.
PCM has started for a Defender

[EXTERNAL] Assignment Ref 71143579 is a DEFENDER in preemption 1034 with state ACTIVE

Retention Policy: BPA Capstone - 7 Year Policy (7 years)

Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

- ROFR_FLAG = Y means the Defender has ROFR.
- When the ROFR_FLAG = Y, the ROFR_DEADLINE tells you when ROFR must be submitted to be valid.
- The ROFR_REF is used to look up the ROFR Notification on OASIS. ROFR can be submitted or declined from the ROFR Notification.

Predecisional. For discussion purposes only.
PCM has started for a Dependent

A Dependent is either a Resale or a pending Redirect from a Defender.

The Assignee will be notified via email when the parent capacity is being challenged.

The email and template results shows the Defender AREF and whether the Defender has ROFR.
Defender has successfully exercised ROFR

The Defender has reached a final state of MATCHED. This means ROFR was granted.

The Dependent has also been COMPLETED at the same time as the Defender.

Note that the Challenger is still in progress (ACTIVE).

The overall PCM process is also still in progress: PREEMPTION_PROCESS_STATE = ACTIVE.
The Challenger has now reached a final state.

PREEMPTION_COMPONENT_STATE = PARTIAL means the Challenger got a counteroffer.

The overall PCM process is done. PREEMPTION_PROCESS_STATE = COMPLETED. All AREFs must be in a final status before the overall process can be completed.
### Challenger has received a Full Offer

_[EXTERNAL] Assignment Ref 71143585 is a CHALLENGER in preemption 1035 with state FULL_

- Links and other functionality have been disabled in this message. To turn on that functionality, move this message to the Inbox.

- Time stamp: 20220209153444CS
- Version: 2.2
- Template: Preemption
- Output format: DATA
- Primary provider code: BPAT
- Return TZ: CS
- Data rows: 3


| Y,1035,,BPAT,DEFENDER,PREEMPTED,CPSE,71143579,N,........,20220209153322CS,20220209153322CS |
| Y,1035,,CPSE,DEPENDENT,COMPLETED,APSE,71143580,,....,71143579,,71143579,,,20220209153323CS,20220209153323CS |

- This is a different Preemption and ROFR process: **PREEMPTION_REF = 1035**.
- The Challenger received a full offer (**PREEMPTION_COMPONENT_STATE = FULL**) and the overall process is done (**PREEMPTION_PROCESS_STATE = COMPLETED**).
Defender has been Preempted

This is the same Preemption and ROFR process (PREEMPTION_REF = 1035).

The Defender was preempted (PREEMPTION_COMPONENT_STATE = PREEMPTED).

Note that the Defender did not have ROFR (ROFR_FLAG = N).
Preemption and ROFR Procedures
Preemption and ROFR Procedures Document

• This is an OASIS “how-to” document for common functions related to PCM that is linked from the Preemption v4 BP.

• A draft version will be posted for customer review by the time of the CBPI call.

• Since this is not a Business Practice, BPA will not go through a formal comment process.
  
  o However, BPA is interested in any comments, suggestions, or questions. Please send to: techforum@bpa.gov

• A “final version” will be published prior to PCM training.

• Most of the content will be demonstrated as part of the PCM training.
Background Reference
Terminology Recap

- Preemption and ROFR: The overall process that carries out Section 13.2 of the tariff in which a higher priority request may challenge lower priority requests and reservations for constrained capacity.

- Right of First Refusal (ROFR): The ability for PTP customers to defend their existing reservation by agreeing to match the terms of a challenging PTP request.

- Defender: Request or reservation holding conditional capacity that is at risk from higher priority requests.

- Challenger: The higher priority request that can challenge.

- Preemption without ROFR: Scenario in which the Defender really has no defense. Their capacity can simply be taken by the Challenger. Most commonly involves an NT Challenger against any PTP Defender.

- Preemption with ROFR: Scenario in which the Defender may choose to exercise ROFR to keep their existing reservation. Only occurs between a PTP Challenger and a PTP Defender holding a reservation.

- PCM: The OATI software that carries out Preemption/ROFR.